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Patent  
8681RCR2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Applicant(s) : Mitchell, et al.  
Serial No. : 10/705,174  
Filed : November 11, 2003  
Title : WATER FILTER MATERIALS, WATER FILTERS AND KITS  
CONTAINING PARTICLES COATED WITH CATIONIC POLYMER AND  
PROCESSES FOR USING THE SAME  
Docket : 8681RCR2  
Art Unit : 1723  
Confirm. No. : 4650

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Paul M. Ulrich

Reg. No. 46,404

Sir:

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UNDER 37 CFR §§ 1.56, 1.97, AND 1.98

Applicant submit herewith patents, publications, and other information of which they are aware, which they believe may be material, as defined in 37 CFR §1.56(b), to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56(a). While the information referred to in this Information Disclosure Statement may be material pursuant to 37 CFR §1.56(b), the filing of this Information Disclosure Statement is not intended to, pursuant to 37 CFR §1.97(h), constitute an admission that any patent, publication, or other information referred to is, or is considered to be, material to the patentability of this invention. No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103, and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish otherwise. Further, pursuant to 37 CFR §1.97(g), the filing of this

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Serial No. 10/705,174  
Docket 8681RCR2

Statement should not be construed as a statement that a search has been made or that no other material information exists.

This Information Disclosure Statement is being filed within the period set forth in 37 CFR §1.97(b) because it is believed to be filed before the mailing date of a first office action on the merits.

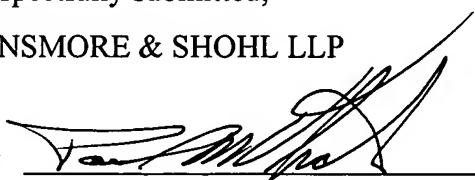
The above application is a continuation-in-part of U.S. Application Serial Nos. 10/464,209 and 10/464,210 both filed on June 18, 2003. Pursuant to §1.98(d), copies of those items cited by or submitted to the Office in the prior applications are not being submitted.

The Office has waived the requirement pursuant to 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003. Therefore, no copies of each cited U.S. patent and each cited U.S. patent application publication are enclosed, but the cited U.S. patents and the cited U.S. patent application publications are listed on PTO/SB/08A.

Respectfully submitted,

DINSMORE & SHOHL LLP

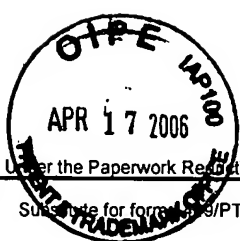
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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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## **Complete if Known**

Application Number	10/705,174
Filing Date	November 11, 2003
First Named Inventor	Mitchell, et al.
Art Unit	1723
Examiner Name	Yoon-Young Kim
Attorney Docket Number	8681RCR2

Sheet 1 of 3

## **U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-5,521,008	05/28/1996	Lieberman et al.	
		US-6,827,854	12/07/2004	Mitchell et al.	
		US-6,241,893	06/05/2001	Levy	
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		US-5,376,279	12/27/1994	Judd et al.	
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Sheet 2 of 3**Complete if Known**

Application Number	10/705,174
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		Number-Kind Code <sup>2</sup> (if known)			
		US-6,660,168	12/09/2003	Tremblay et al.	
		US-6,565,749	05/20/2003	Hou et al.	
		US-2003/0038084	02/27/2003	Mitchell et al.	
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		US-S/N 09/574,456	05/19/2000	Tremblay et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		RU 2 064 429	07/27/1996	Vasil'evich et al		
		RU 2 034 789	05/10/1995	Aleksandrovich		
		RU 2 070 438	12/20/1996	Pimenov et al		
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		WO 03/068686	08/21/2003	Mitchell et al.		
		EP 0 490 317	06/17/1992	Abe et al.		

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		Application Number	10/705,174		
		Filing Date	November 11, 2003		
		First Named Inventor	Mitchell et al.		
		Art Unit	1723		
		Examiner Name	Yoon Young Kim		
Sheet	3	of	3	Attorney Docket Number	8681RCR2

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		DIMITRIS IOANNIS COLLIAS, Declaration under 37 CFR §1.132, 04/01/2004.	
		NEWCOMBE, G., ET AL., "Granular activated Carbon: importance of surface properties in the adsorption of naturally occurring organics", <u>Colloids and Surfaces A: Physicochemical and Engineering Aspects</u> , 1993, 65-71, Vol. 78.	
		GREGG, S.J., ET AL., "Mesoporous solids: the Type IV isotherm", <u>Adsorption, Surface Area and Porosity</u> , 1982, 137-143, 2nd Edition, Academic Press, Inc.	
		REPORT OF TASK FORCE, "Guide Standard and Protocol for Testing Microbiological Water Purifiers", <u>United States Environmental Protection Agency</u> , (submitted April, 1986), revised April, 1987, 1-39.	
		WESTVACO PRODUCTS ON-LINE, "Wood-based Activated Carbons", (2 pgs), downloaded 7/01.	
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		MORENO-CASTILLA, C., ET AL., "The Creation of Acid Carbon Surfaces by Treatment with (NH <sub>4</sub> ) <sub>2</sub> S <sub>2</sub> O <sub>8</sub> ", <u>Carbon</u> , Vol. 35, No. 10-11, 1619-1626 (1997).	
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		BABIC, B.M., ET AL., "Point of Zero Charge and Intrinsic Equilibrium Constants of Activated Carbon Cloth", <u>Carbon</u> , Vol. 37, No. 3, 477-481, (February 22, 1999).	
		MENENDEZ, J.A., ET AL., "On the Difference Between the Isoelectric Point and the Point of Zero Charge of Carbons", <u>Carbon</u> , Vol. 33, No. 11, 1655-1657, (1995).	

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